



PTO/SB/21 (07-06)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/726,365-Conf. #7119	
	Filing Date	December 2, 2003	
	First Named Inventor	Kentaro Terashima	
	Art Unit	2627	
	Examiner Name	T. Chen	
Total Number of Pages in This Submission		Attorney Docket Number	60391(70904)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS (Citation) by Applicant (4 References) (1 page) Copies of 3 References Return Receipt Postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	EDWARDS ANGELL PALMER & DODGE LLP		
Signature			
Printed name	William J. Daley, Jr.		
Date	September 8, 2006	Reg. No.	35,487

09-11-06

IFW



Application No. (if known): 10/726,365

Attorney Docket No.: 60391(70904)

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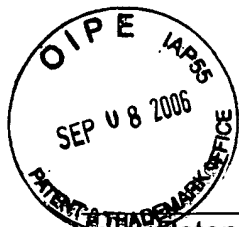
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Transmittal (1 page)  
Information Disclosure Statement (2 pages)  
IDS (Citation) by Applicant (4 References) (1 page)  
Copies of 3 References  
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Docket No.: 60391(70904)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Kentaro Terashima

Application No.: 10/726,365

Confirmation No.: 7119

Filed: December 2, 2003

Art Unit: 2627

For: OPTICAL PICKUP DEVICE

Examiner: T. Chen

**INFORMATION DISCLOSURE STATEMENT (IDS); RULE 97(i)**

MS Issue Fee  
Commissioner for Patents  
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Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby brings the information identified in the attached PTO/SB/08 to the Examiner's attention. As to the status of the present application, a Notice of Allowance has been issued and the issue fee has been previously paid by Applicant, but the patent has not yet issued. Applicants have attached the PTO/SB/08, in case the Examiner does review and consider the identified information so the Examiner can thus use this attachment to reflect their consideration.

Applicant has reviewed the identified additional information and do not believe that this information alters the patentability decision of the Examiner as reflected in the Notice of Allowability mailed June 6, 2006. The Examiner, however, is invited to review the identified information and make their own evaluation and reach their own conclusion regarding same.

A summary/abstract of the non-English language references (Ref. BA-BC and a partial translation for some of the non-English language references (Ref. BA-BB) are enclosed.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

I hereby certify, pursuant to 37 C.F.R. §1.97(e)(1), that each of the identified information was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the mailing of this Information Disclosure Statement.

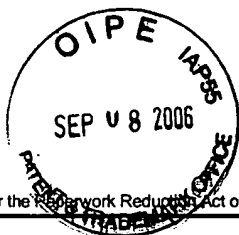
As this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(i), additional fees are not believed to be required; however, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 60391(70904).

Dated: September 8, 2006

Respectfully submitted,

By William J. Daley, Jr.  
William J. Daley, Jr.

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/726,365-Conf. #7119
				Filing Date	December 2, 2003
				First Named Inventor	Kentaro Terashima
				Art Unit	2627
				Examiner Name	T. Chen
Sheet	1	of	1	Attorney Docket Number	60391(70904)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-2001/0028622	10-11-2001	Osamu Kasono	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-2002-269790	09-20-2002			
	BB	JP-2003-263766	09-19-2003			
	BC	-64-52121-U	03-30-1989			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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